

In Place of FORMPTO-1449 (Modified)

Serial No. 10 033,076
 Applicants: Richard E. Smalley et al.
 Filing Date: December 28, 2001
 Group: 1754
 Atty. Docket No.: 11321-P0121/USD8

**LIST OF PATENTS AND PUBLICATIONS FOR
 APPLICANTS' INFORMATION DISCLOSURE
 STATEMENT**

APR 19 2002

Reference Designation

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
|------------------|-----------------|------|------|-------|----------|----------------------------|
| AAA | | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation Yes No |
|------------------|-----------------|------------|----------|-------|----------|--------------------|
| SLA ABA | EP 1 176 234 A2 | 12-05-1993 | European | | | |

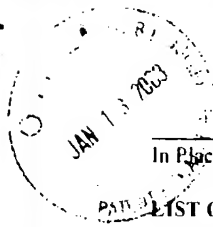
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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- SLA ACA LI, *et al.*, "Large-Scale Synthesis of Aligned Carbon Nanotubes," *Science*, Volume 274, December 6, 1996, pp. 1701-1703.
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- AEA THESS, *et al.*, "Crystalline Ropes of Metallic Carbon Nanotubes," *Science*, Volume 273, July 26, 1996, pp. 483-487.
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Herbickson S/Sloy

Notation: if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Serial No.: 10 033,076
Applicants: Richard E. Smalley et al.
Filing Date: December 28, 2001
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Atty. Docket No.: 11321-P012USD8

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Reference Designation

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
|---------------------|--------------------|------------|--------------|-------|----------|-------------------------------|
| SLD AAA | 5,698,175 | 12/16/1997 | Hiura et al. | 423 | 447.1 | |
| ABA | | | | | | |
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Examiner
Initial

SLD AEA

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Examiner:

Hendricks

Date Considered:

SLD 04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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11-21-01 P012USD8 01/26/03